

MOD 12: Credible Financial Advice Assignment: Financial Professional Investigation

Your task is to identify a financial industry professional, ideally in the local area and create a profile of that individual and their role. This assignment requires that you research the role and interview the individual. The components of this assignment include:

1. Research and identify an individual who works in the financial industry.
2. Research the role of the individual as a professional. This should include generalized online research but also an interview with the individual.

Your assignment submission will be in 2 parts:

1. Interview questions. Due _____
2. Final profile/responses. Due _____

	Level 4	Level 3	Level 2	Level 1
Interview Questions	Interview questions were exceptionally detailed, meaningful and targeting. You posed questions that clarify the role of the individual and also questions related to specific scenarios.	Interview questions were detailed and appropriate to the task. Your questions clarified the role of the individual.	Interview questions were submitted by may lack detail and clarity. A more thorough interview would better clarify the role of the individual.	Interview questions were not detailed or meaningful and did not fully meet the necessary requirements of the assignment.
Content	You have included all necessary areas in complete detail. You were able to demonstrate a high degree of understanding of the role of the financial professional and how to determine if the person is credible.	You have included the necessary areas. Your profile demonstrates an understanding and includes information on determining credibility. It may be lacking some detail.	You have included most of the necessary information but may be missing one.	You have included some of the necessary areas but are missing some of the required content.