



Investment resources if you have a problem

From: **Financial Consumer Agency of Canada**

If you want to make a complaint, the Investment Industry Regulatory Organization of Canada (IIROC) publication [An Investor's Guide to Making a Complaint](#) explains the steps involved.

If you have a complaint against a member of the Mutual Fund Dealers Association of Canada (MFDA) (either a mutual fund dealer or a salesperson employed by a dealer), you can make a complaint to the MFDA. To find out how, visit the [How to Make a Complaint to the MFDA](#) section of the Association's website.

The [Ombudsman for Banking Services and Investments](#) (OBSI) is a national, independent organization for settling disputes between consumers and OBSI members (mainly banks, trust companies and securities dealers).

In Canada, securities regulation is the responsibility of the provincial and territorial governments. Here is a list of provincial and territorial securities regulators with links to their websites.

- [Alberta Securities Commission](#)
- [British Columbia Securities Commission](#)
- [Manitoba Securities Commission](#)
- [New Brunswick Financial and Consumer Services Commission](#)
- [Securities Commission of Newfoundland and Labrador](#)
- [Northwest Territories Securities Office](#)
- [Nova Scotia Securities Commission](#)
- [Nunavut Office of the Superintendent of Securities](#)
- [Ontario Securities Commission](#)
- [Prince Edward Island Office of the Superintendent of Securities](#)
- [Autorité des marchés financiers \(Quebec\)](#)
- [Saskatchewan Financial and Consumer Affairs Authority](#)
- [Office of the Yukon Superintendent of Securities](#)

Related links